

2025

广东佳纳能源科技有限公司
钴供应链尽责管理进展报告
Guangdong Jiana Energy Technology Co., Ltd.
Cobalt Supply Chain Due Diligence Progress Report



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一、公司简介 *Company Profile*

公司名称 Company Name	广东佳纳能源科技有限公司 Guangdong Jiana Energy Technology Co., Ltd.
公司所在地 Company Location	中国广东省英德市青塘镇 Qingtang Town, Yingde City, Guangdong Province, China.
CID 号码 CID Number	CID003291
使用原料 Material Used	粗制氢氧化钴 Crude Cobalt Hydroxide
主要产品 Main Product	三元前驱体、钴盐 Ternary Precursor, Cobalt Salt
报告涵盖时间 Time Period Covered by This Report	2024 年 8 月 1 日~2025 年 7 月 31 日（包括但不限于） Including but not limited to August 1st 2024 – July 31st 2025

广东佳纳能源科技有限公司（以下简称“佳纳能源”“公司”或“我们”）成立于 2003 年，坐落于中国南方沿海省份广东。公司深耕新能源材料领域，是国内主要的动力电池用前驱体生产企业及钴精炼企业之一。公司主要产品包括三元前驱体、高端钴盐等。

Guangdong Jiana Energy Technology Co., Ltd. (hereinafter referred to as “Jiana Energy,” the “Company,” or “we”) was established in 2003 and is located in Guangdong Province, a coastal region in southern China. The Company is deeply engaged in the field of new energy materials

and is one of China's leading producers of precursor materials for power batteries as well as cobalt refining enterprises. Its main products include ternary precursors and high-end cobalt salts.

在新能源汽车产业和消费电子行业快速发展的背景下，全球对钴这一关键金属矿产资源的需求不断增长。随着持续参与并融入全球产业链和供应链体系，佳纳能源逐渐识别到供应链中在合规、环境、社会及人权等方面可能存在的风险与挑战，并深刻认识到企业在尊重和保护人权以及识别、管控相关风险方面所承担的责任。佳纳能源始终认为，商业成功应以合规经营、诚信原则和道德运营为基础，并要求业务合作伙伴共同履行社会责任，严格遵守负责任采购和尽责管理相关要求，推动产业实现可持续发展。

Against the backdrop of the rapid growth of the new energy vehicle industry and the consumer electronics sector, the global demand for cobalt, a key metal mineral resource, continues to rise. Through ongoing participation in and integration into global industrial and supply chain systems, Jiana Energy has progressively identified potential risks and challenges within the supply chain related to compliance, environmental protection, social responsibility, and human rights. The Company fully recognizes its responsibility to respect and protect human rights and to identify and manage related risks. Jiana Energy firmly believes that commercial success should be built on compliant operations, integrity, and ethical business conduct, and requires its business partners to jointly fulfill social responsibilities, strictly adhere to responsible sourcing and due diligence management requirements and work together to promote the sustainable development of the industry.

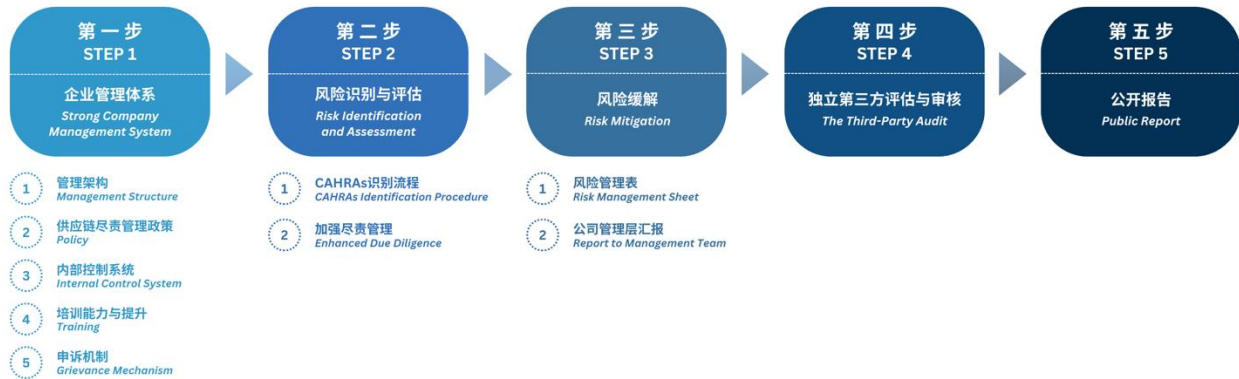
二、尽责管理实践 *Due Diligence Management Practices*

自2017年起，佳纳能源着手建设供应链尽责管理体系，并将其系统性地纳入公司整体管理体系之中，相关工作获得了各利益相关方的广泛认可。公司建立该尽责管理体系，旨在切实履行对供应链政策的承诺，通过持续监测内外部原材料供应链，全面开展供应链尽职调查。

Since 2017, Jiana Energy has been building its supply chain due diligence management system and has systematically integrated it into the Company's overall management framework. These efforts have been widely recognized by various stakeholders. The establishment of this due diligence management system aims to fulfill the Company's commitments to its supply chain policies by continuously monitoring both internal and external raw material supply chains and conducting comprehensive supply chain due diligence.

作为行业内较早建立钴供应链尽责管理体系的企业之一，佳纳能源制定并实施的相关程序文件与中国五矿化工进出口商会（CCCCMC）发布的《中国负责任矿产供应链尽责管理指南》（以下简称“中国指南”）、经济合作与发展组织（OECD）发布的《关于来自受冲突影响和高风险区域的矿石的负责任供应链尽责管理指南》（以下简称“OECD指南”），以及欧洲议会和欧盟理事会发布的《关于电池和废电池的第2023/1542(EU)号法规》的相关要求保持一致。本报告亦将依据上述指南所倡导的五步法，对佳纳能源的相关工作进行说明。

As one of the early enterprises in the industry to establish a due diligence management system for the cobalt supply chain, Jiana Energy has developed and implemented relevant procedures that are aligned with the requirements of the Chinese Due Diligence Guidelines for Responsible Mineral Supply Chains issued by the China Chamber of Commerce of Metals, Minerals & Chemicals Importers & Exporters (CCC MC) (hereinafter referred to as the “Chinese Guidelines”), the OECD Due Diligence Guidance for Responsible Supply Chains of Minerals from Conflict-Affected and High-Risk Areas (hereinafter referred to as the “OECD Guidance”), as well as Regulation (EU) 2023/1542 on batteries and waste batteries issued by the European Parliament and the Council. This report will also present Jiana Energy’s related work in accordance with the five-step framework advocated in the above-mentioned guidelines.



图一. 负责任供应链尽责管理五步法

Figure 1. The Five-Step Approach to Responsible Supply Chain Due Diligence Management

第一步：建立完善的企业管理体系

Step 1: Strong Company Management System

1.1 管理架构 Management Structure

佳纳能源设立了“供应链尽责管理委员会”（以下简称“委员会”），该委员会由公司主要领导及各部门、各业务环节的主要负责人共同组成，并对供应链尽责管理相关事项拥有总体统筹、控制和决策权。

Jiana Energy has established a Supply Chain Due Diligence Management Committee (hereinafter referred to as the “Committee”). The Committee is composed of the Company’s senior management as well as the heads of relevant departments and business functions, and is vested with overall coordination, control, and decision-making authority over supply chain due diligence matters.

- 供应链尽责管理委员会

Supply Chain Due Diligence Management Committee:

- 委员会以季度为周期召开会议，负责对供应商合格状态进行确认与批准，识别受冲突影响和高风险区域（CAHRAs）并讨论风险调查结果，审查风险评估记录，制定并跟进风险缓解措施，同时对内外部审核结果进行审议，监督尽责管理体系的有效运行及持续改进。

The Committee convenes on a quarterly basis and is responsible for reviewing and approving supplier qualification status, identifying conflict-affected and high-risk areas (CAHRAs) and discussing the results of risk investigations, reviewing risk

assessment records, formulating and tracking risk mitigation measures, reviewing internal and external audit results, and overseeing the effective implementation and continuous improvement of the due diligence management system.

■ 体系管理者代表

Management Representative:

- 体系管理者代表负责推动相关政策的全面落实，统筹协调包括供应物管部、质量、生产、采购等在内的相关部门，确保各部门持续履行其在尽职调查计划中的职责，并定期向高级管理层汇报相关工作进展。

The Management Representative is responsible for promoting the full implementation of relevant policies and coordinating the work of relevant departments, including Supply and Materials Management, Quality, Production, and Procurement, to ensure that each department continuously fulfills its roles and responsibilities under the due diligence program. The Management Representative also reports on progress to senior management on a regular basis.

■ 专员团队

Specialist Team:

- 公司设立专员团队，承担尽职调查管理体系的建设与维护工作，负责体系的整体实施、执行及日常运行管理。

The Company has established a dedicated specialist team responsible for the development, maintenance, and ongoing operation of the due diligence management system, including its overall implementation and execution.



图二. 广东佳纳能源供应链尽责管理架构

Figure 2. Guangdong Jiana Energy Supply Chain Responsibility Management Structure

1.2 供应链尽责管理政策 Policy

为防范供应链中出现童工、侵犯人权等情形，佳纳能源依据《中国负责任矿产供应链尽责管理指南》（中国指南）、OECD《来自受冲突影响和高风险区域矿产的负责任供应链尽责管理指南》以及欧盟新电池法规，制定并发布了《佳纳能源供应链尽责管理政策》（以下简称“政策”）。该政策明确了相关风险类型及相应的风险缓解措施，并已通过多种渠道向利益相关方进行充分披露，同时在佳纳能源官方网站公开发布，相关内容可在公司官网：<http://www.jianae.com/>。

To prevent situations such as child labor and human rights violations in the supply chain, Jiana Energy has developed and issued the Jiana Energy Supply Chain Due Diligence Management

Policy (hereinafter referred to as the “Policy”) in accordance with the Chinese Due Diligence Guidelines for Responsible Mineral Supply Chains (the “Chinese Guidelines”), the OECD Due Diligence Guidance for Responsible Supply Chains of Minerals from Conflict-Affected and High-Risk Areas, and the EU Battery Regulation. The Policy defines relevant risk categories and corresponding risk mitigation measures and has been fully disclosed to stakeholders through multiple channels. It is also publicly available on Jiana Energy’s official website at <http://www.jianae.com/>.

此外，为推动公司与业务合作伙伴共同防范供应链中在人权、职业健康与安全、社区权益以及生态与环境保护等方面的风险，佳纳能源制定了《供应商行为守则》（以下简称“守则”），并要求所有供应商遵守守则相关要求并签署承诺文件。

In addition, to promote joint efforts with business partners to mitigate risks related to human rights, occupational health and safety, community rights, and environmental and ecological protection within the supply chain, Jiana Energy has established a Supplier Code of Conduct (hereinafter referred to as the “Code”) and requires all suppliers to comply with the Code and sign a written commitment.

1.3 内部控制系统 Internal Control System

佳纳能源构建了完善的内部控制体系，并依托各项管理程序的有效运行，全面落实对原材料的尽责管理要求。公司已建立规范的记录保存程序，明确规定凡涉及钴供应链尽责管理体系的相关记录，均须保存十年或以上。

Jiana Energy has established a comprehensive internal control system and ensures the effective implementation of due diligence requirements for raw materials through the proper operation of various management procedures. The Company has also implemented a formal record retention procedure, which requires that all records related to the cobalt supply chain due diligence management system be retained for a period of ten years or more.

在具体实施层面，公司通过多种方式开展信息收集与管理，包括开展 KYS 背景信息调查、收集 NGO 相关信息；通过建立供应链地图实现原材料的可追溯管理；在供应商管理方面，通过政策宣导及将相关要求纳入书面条款，强化供应商合规意识；同时，依托内部物料控制体系及定期开展体系有效性管理评审，持续强化内部控制并保障体系的有效运行。

At the operational level, the Company conducts information collection and management through multiple approaches, including the completion of KYS background information questionnaires and the collection of relevant information from non-governmental organizations (NGOs). Raw material traceability is achieved through the development of supply chain maps. In terms of supplier management, the Company strengthens supplier compliance awareness through policy communication and by incorporating relevant requirements into written contractual provisions. In addition, the Company continuously reinforces internal controls and ensures the effective operation of the system through its internal material control system and regular management reviews of system effectiveness.

1.4 培训能力与提升 Training

为持续提升矿产供应链尽责管理能力，公司通过多种渠道开展相关培训。一方面，员工参加由负责任矿产倡议（RMI）提供的矿产供应链尽责管理培训，包括 RMI 网络会议形式的培训以及 RMI 电子学习学院提供的免费在线课程；另一方面，公司也参与由行业主要协会结合最新行业法规变化组织的供应链尽责管理专题培训。

To continuously enhance its capabilities in mineral supply chain due diligence, the Company conducts relevant training through multiple channels. On the one hand, employees participate in mineral supply chain due diligence training provided by the Responsible Minerals Initiative (RMI), including webinar-based training sessions and free online courses offered through the RMI Learning Academy. On the other hand, the Company also takes part in supply chain due diligence training programs organized by major industry associations in response to changes in applicable industry regulations.

在内部培训方面，佳纳能源每年面向参与尽职调查计划的相关部门关键岗位人员开展供应链合规培训；当相关标准、制度文件发生更新或人员岗位调整时，公司将同步组织补充培训，以确保相关人员持续具备履职所需的能力和意识。

With respect to internal training, Jiana Energy provides annual supply chain compliance training to key personnel in relevant departments involved in the implementation of the due diligence program. Additional training is arranged in a timely manner when relevant standards or policy documents are updated, or when personnel changes occur, to ensure that responsible personnel continue to possess the necessary competencies and awareness to fulfill their roles.

1.5 申诉机制 Grievance Mechanism

佳纳能源已建立并对外公开申诉机制，该机制支持匿名举报并对举报人提供必要保护。任何与公司或其供应链相关的问题，均可通过该渠道向公司反映。供应链合规专员团队将对收到的所有申诉进行初步评估，并提交公司管理层进一步审议，以确定针对相关事项的适当处理和纠正措施。申诉机制的处理结果及反馈将纳入公司的风险识别与管理流程中。

Jiana Energy has established and publicly disclosed a grievance mechanism that allows for anonymous reporting and provides appropriate protection for whistleblowers. Any issues related to the Company or its supply chain may be reported through this channel. The supply chain compliance specialist team conducts an initial assessment of all grievances received and submits them to the Company's management for further review, in order to determine appropriate response and corrective actions. The outcomes and feedback from the grievance handling process are incorporated into the Company's risk identification and management processes.

有关申诉机制的详细信息，可通过访问佳纳能源官方网站获取。

Further information on the grievance mechanism is available on Jiana Energy's official website.

第二步：供应链风险的识别和评估

Step 2: Risk Identification and Assessment

佳纳能源已建立完善的风险评估程序，并配套设计了多项风险识别与评估工具。公司在风险评估过程中，将对所收集的全部信息进行系统审查，审查依据包括适用的法律法规、受冲突影响和高风险区域（CAHRAs）、相关制裁清单以及内部采购管理要求等。风险评估范围覆盖《中国负责任矿产供应链尽责管理指南》供应链政策示范模板及《OECD 指南》附录 II 所列明的全部风险类型，同时纳入职业健康与安全相关风险。

Jiana Energy has established a comprehensive risk assessment procedure, supported by a set of risk identification and assessment tools. During the risk assessment process, the Company conducts a systematic review of all information collected, with reference to applicable laws and regulations, conflict-affected and high-risk areas (CAHRAs), relevant sanctions lists, and internal procurement requirements. The scope of the risk assessment covers all risk categories set out in the supply chain policy template of the Chinese Due Diligence Guidelines for Responsible Mineral Supply Chains and Annex II of the OECD Due Diligence Guidance, and also includes risks related to occupational health and safety.

2.1 CAHRAs 识别流程 CAHRAs Identification Procedure

在通过背景调查明确所采购原材料的原产国及运输途经国家后，佳纳能源将启动《CAHRAs 识别程序》。该程序从武装冲突、政府治理和人权三个维度出发，借助相关权威工具，对受冲突影响和高风险区域（CAHRAs）进行系统识别与评估，并至少每年对识别结果进行一次更新和记录。

After determining the country of origin and transit countries of the procured raw materials through background checks, Jiana Energy initiates the CAHRAs Identification Procedure. This procedure conducts a systematic identification and assessment of conflict-affected and high-risk areas (CAHRAs) from three dimensions—armed conflict, government governance, and human rights—by applying relevant authoritative tools, with the identification results reviewed, updated, and documented at least on an annual basis.

在武装冲突维度，公司参考海德堡冲突晴雨表、《多德-弗兰克法案》以及欧盟冲突矿物法规所列 CAHRA 清单；在政府治理维度，采用全球清廉指数和脆弱国家指数作为评估依据；在人权维度，则结合人类发展指数和全球奴隶制指数进行综合判断。

From the armed conflict perspective, the Company references the Heidelberg Conflict Barometer, the Dodd-Frank Act, and the CAHRA lists under the EU Conflict Minerals Regulation. From the government governance perspective, the Corruption Perceptions Index and the Fragile States Index are used as assessment benchmarks. From the human rights perspective, the Human Development Index and the Global Slavery Index are applied to support the overall assessment.

通过上述 CAHRAs 识别程序，佳纳能源识别出其部分原产国及运输途经国家属于 CAHRAs，存在潜在的人权及童工风险，从而触发了示警信号。其中，触发示警信号的 CAHRAs 地区包括钴金属原产国刚果民主共和国，以及坦桑尼亚、赞比亚、莫桑比克、津巴布韦等运输途经国家。基于上述识别结果，公司对触发示警信号的相关供应商实施加强型尽职调查措施。

Through the implementation of the CAHRAs Identification Procedure, Jiana Energy has identified certain countries of origin and transit countries as CAHRAs, where potential risks related to human rights and child labor exist, thereby triggering red flags. The CAHRAs identified include the Democratic Republic of the Congo as the country of origin for cobalt, as well as transit countries such as Tanzania, Zambia, Mozambique, and Zimbabwe. Based on these findings, the Company applies enhanced due diligence measures to suppliers for whom red flags have been identified.

2.2 加强尽责管理 **Enhanced Due Diligence**

佳纳能源通过多种渠道系统性地收集供应商相关的定性信息，包括开展供应商调查、实地勘察、网络信息调研，以及参考 NGO 和客户反馈等方式。在此基础上，公司建立了完善的物料追溯工具，对供应商与佳纳能源之间发生的每一笔交易进行追溯资料的收集与管理，确保原材料来源的可追溯性。

Jiana Energy systematically collects qualitative information related to its suppliers through multiple channels, including supplier questionnaires, on-site inspections, desk-based research, and the review of information from non-governmental organizations (NGOs) and customer feedback. On this basis, the Company has established comprehensive material traceability tools to collect and manage traceability data for every transaction between suppliers and Jiana Energy, thereby ensuring the traceability of raw material sources.

为进一步降低高风险地区采购可能带来的供应链风险，佳纳能源积极鼓励供应商参与行业认可的独立第三方评估项目，如负责任矿产保障流程（RMAP），并配合公司自身或下游客户开展的各类验证与审核计划。为提升供应链透明度并有效管控源头风险，公司通过强化对供应商矿料管理情况的监督开展实地评估工作，并在此过程中采用 RMAP 审计报告作为替代实地评估的重要依据，同时持续收集并整合冶炼厂和矿山的相关信息，不断完善供应链溯源体系。截至本报告期，所有涉及高风险地区上游冶炼厂均已通过 RMAP 审计，有效提升了供应链的合规性与可追溯水平。

To further mitigate supply chain risks arising from sourcing from high-risk areas, Jiana Energy actively encourages suppliers to participate in industry-recognized independent third-party assessment programs, such as the Responsible Minerals Assurance Process (RMAP), and cooperates with verification and audit programs conducted by the Company itself or by downstream customers. To enhance supply chain transparency and effectively manage upstream risks, the Company strengthens oversight of suppliers' mineral management practices through on-site assessments. In this process, RMAP audit reports are used as an alternative basis for on-site assessments, while information related to smelters and mines is continuously collected and consolidated to further improve the supply chain traceability system. As of the reporting period, all upstream smelters associated with high-risk areas have successfully passed RMAP audits, significantly enhancing the compliance and traceability of the supply chain.

在尽责管理工作中，佳纳能源以尽责管理五步法为指导，对已触发预警信号的来源国钴原料积极采取风险缓解措施，并持续推动相关供应商参与第三方独立审计。目前，公

司所采购的钴原料供应商均属于高风险采购情形，四家已通过 RMI 第三方审计并被列入合规名单。综合评估，公司整体原材料尽责风险处于较低水平。佳纳能源将持续关注相关风险变化，并进一步推动供应商参与行业第三方审核。

Guided by the five-step framework for due diligence, Jiana Energy implements a range of due diligence measures and actively adopts risk mitigation actions for cobalt raw materials sourced from countries that have triggered red flags. The Company also continues to promote the participation of relevant suppliers in independent third-party audits. Currently, all suppliers of cobalt raw materials procured by Jiana Energy fall under high-risk sourcing scenarios, four suppliers have passed RMI third-party audits and have been included on the compliant supplier list. Based on a comprehensive assessment, the overall due diligence risk associated with the Company's raw materials is assessed to be low. Jiana Energy will continue to monitor relevant risks and further encourage suppliers to participate in industry-recognized third-party audits.

第三步：对识别的风险制定并实施缓解政策

Step 3: Risk Mitigation

在识别并确认相关风险后，佳纳能源将与各类利益相关方开展协作，共同制定合理且可行的风险缓解措施及风险缓解计划。参与的利益相关方除受影响的供应商外，还可能包括供应商员工、安全力量、社区组织、非政府组织以及地方政府等。

Once relevant risks have been identified and confirmed, Jiana Energy engages with relevant stakeholders to collaboratively determine appropriate risk mitigation measures and develop reasonable risk mitigation plans. In addition to affected suppliers, relevant stakeholders may include supplier employees, security forces, community organizations, non-governmental organizations (NGOs), and local governments.

公司通过建立系统化的风险缓解计划，对供应链中涉及人权、腐败等方面的尽责风险进行持续管控与跟踪。风险缓解计划明确风险类型与具体描述，设定缓解目标，制定相应的缓解措施，并明确时间节点及责任人；相关计划在实施前须经钴供应链尽责管理委员会审议批准。供应链合规团队负责对风险缓解措施的执行情况进行监督和跟踪，并至少每六个月或在发生重大变化时，对风险管控措施的有效性开展评估。

The Company manages and monitors due diligence risks related to human rights, corruption, and other supply chain issues through the establishment of structured risk mitigation plans. Each plan specifies the risk type and description, mitigation objectives, mitigation actions, timelines, and responsible persons, and is subject to review and approval by the Cobalt Supply Chain Due Diligence Management Committee prior to implementation. The supply chain compliance team is responsible for monitoring and tracking the implementation of risk mitigation measures and for assessing the effectiveness of risk control measures at least every six months or when other significant changes occur.

依据 OECD 指南附录 II 示范政策，佳纳能源采取三种风险缓解策略：一是在开展可衡量风险降低工作的同时继续与供应商保持贸易关系；二是暂时中止交易，并同步推动

持续、可衡量的风险缓解措施；三是在多次缓解尝试未取得成效，或公司合理判断相关风险无法或不宜缓解的情况下，终止与供应商的业务关系。

In accordance with the model policy set out in Annex II of the OECD Due Diligence Guidance, Jiana Energy applies three risk mitigation strategies: (i) continuing trade while pursuing measurable risk mitigation efforts; (ii) temporarily suspending trade while seeking ongoing and measurable risk reduction measures; and (iii) terminating business relationships with suppliers after failed mitigation attempts or where the Company reasonably determines that risk mitigation is not feasible or acceptable.



图三. 广东佳纳能源使用的三种风险缓解策略

Figure 3. The Three Risk Mitigation Strategies Employed by Guangdong Jiana Energy

在实施风险缓解过程中，佳纳能源通过向供应商提供培训、组织电话会议及开展邮件沟通等方式，与供应商协同改进已识别的问题。随着相关工作的推进，供应商的供应链合规意识和内部合规体系持续提升。正如第二步所述，部分供应商已参与并通过 RMAP 审核，显著降低了相关供应链风险。

During the implementation of risk mitigation measures, Jiana Energy works collaboratively with suppliers to address identified gaps through supplier training, conference calls, and email

communications. As these efforts progress, suppliers' awareness of supply chain compliance and the effectiveness of their internal compliance systems continue to improve. As described in Step Two, certain suppliers have also participated in and successfully passed RMAP audits, which has significantly reduced the associated supply chain risks.

第四步：独立第三方评估与审核

Step 4: The Third-Party Audit

依据 OECD 尽责调查指南及《中国指南》第四步的要求，佳纳能源积极落实钴供应链尽职调查义务，持续参与行业认可的独立第三方审计。公司于 2019 年 9 月首次参加负责任矿产倡议（RMI）下的负责任矿产保障计划（RMAP）。该计划经过多年发展与持续完善，已在行业内获得广泛认可。佳纳能源在首次审核中即顺利通过评估，并被列入 RMI 官方网站公布的“合规钴精炼厂”名单。

In accordance with Step Four of the OECD Due Diligence Guidance and the Chinese Due Diligence Guidelines, Jiana Energy actively fulfills its cobalt supply chain due diligence obligations and continues to participate in industry-recognized independent third-party audits. The Company first joined the Responsible Minerals Assurance Process (RMAP) under the Responsible Minerals Initiative (RMI) in September 2019. Having undergone years of development and continuous improvement, the RMAP has gained broad recognition within the industry. Jiana Energy successfully passed the initial assessment and was subsequently listed as a “Conformant Cobalt Refiner” on the official RMI website.

此后，公司持续接受定期复审。2025 年 3 月，佳纳能源完成了钴金属 RMI 的第四次复审，并于 2025 年 8 月正式通过审核。根据审计反馈，公司对《佳纳能源供应链尽责管理体系汇编》中的相关管理文件进行了修订和补充，进一步完善了尽责管理体系。目前，佳纳能源秉持持续改进原则，正积极筹备 RMI 的第五次复审工作。

Thereafter, the Company has undergone regular re-assessments. In March 2025, Jiana Energy completed its fourth RMI re-assessment for cobalt and officially passed the audit in August 2025. Based on audit feedback, the Company revised and supplemented relevant management documents in the Jiana Energy Supply Chain Due Diligence Management System Compendium, further strengthening its due diligence management system. Adhering to the principle of continuous improvement, Jiana Energy is currently preparing for its fifth RMI re-assessment.

在供应商管理方面，目前佳纳能源钴原料供应商中已有四家通过 RMI 第三方独立审核认证。未来，公司将继续发挥自身影响力，推动供应商提升合规水平，持续致力于构建透明、合规的供应链体系。

With respect to supplier management, four suppliers of cobalt raw materials sourced by Jiana Energy have passed RMI independent third-party audits. Looking ahead, the Company will continue to leverage its influence to encourage suppliers to enhance compliance performance and remain committed to building a transparent and compliant supply chain.

RMAP 评估总结 RMAP Assessment Summary	
上次评估日期 Last Audit Date	2025 年 3 月 27 日~2025 年 3 月 28 日 March 27–28, 2025
评估时间 Audit Period	2023 年 4 月 1 日~2025 年 2 月 28 日 From April 1, 2023 to February 28, 2025
评估公司 Audit Company	UL Solution
评估总结报告网址 Audit Summary Report Link	https://www.responsiblemineralsinitiative.org/media/docs/Public%20Reports/Guangdong%20Jiana%20Public%20Report.pdf

第五步：公开报告

Step 5: Public Report

佳纳能源每年定期发布一次尽责管理报告，本报告为公司发布的第七份尽责管理报告。报告的详细内容可通过访问佳纳能源官方网站查阅。

Jiana Energy publishes a due diligence management report on an annual basis. This report represents the Company’s seventh due diligence management report. Detailed information is available on Jiana Energy’s official website at:

http://www.jianae.com/supply_chain_management.html

佳纳能源充分认识到，信息公开是上下游供应链各利益相关方共同承担的重要责任。未来，公司将持续完善供应链尽职调查管理体系，不断强化尽职调查工作的深度与广度，积极识别、缓解并消除供应链中的各类风险。同时，佳纳能源将持续参与相关产业项目，并倡导上下游合作伙伴携手共建透明、可持续、符合道德规范且负责任的矿物供应链。

Jiana Energy fully recognizes that public disclosure is a shared responsibility of all stakeholders across the upstream and downstream supply chain. Going forward, the Company will continue to improve its supply chain due diligence management system, further enhance the scope and depth of due diligence activities, and proactively identify, mitigate, and eliminate supply chain risks. At the same time, Jiana Energy will continue to participate in relevant industry initiatives and calls on its upstream and downstream partners to work together to build a transparent, sustainable, ethical, and responsible mineral supply chain.

如需获取更多信息，欢迎通过邮箱 ESG@dowstone.com.cn 与我们联系。

For further information, please contact us at ESG@dowstone.com.cn.